

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER GEN 3.3-014 U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/US2005/012544	INTERNATIONAL FILING DATE 14 April 2005	PRIORITY DATE CLAIMED 15 April 2004
TITLE OF INVENTION DOSAGE FORM HAVING POLYMORPHIC STABILITY		
APPLICANT(S) FOR DO/EO/US Pavak R. MEHTA et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<div style="margin-top: 10px;"><div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input checked="" type="checkbox"/></div><div>1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</div></div><div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</div></div><div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</div></div><div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>4. The US has been elected (Article 31).</div></div><div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input checked="" type="checkbox"/></div><div>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))<div style="margin-left: 10px;"><div style="display: flex; margin-bottom: 2px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>a. is attached hereto (required only if not communicated by the International Bureau).</div></div><div style="display: flex; margin-bottom: 2px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>b. has been communicated by the International Bureau.</div></div><div style="display: flex; margin-bottom: 2px;"><div style="width: 20px; text-align: center;"><input checked="" type="checkbox"/></div><div>c. is not required, as the application was filed in the United States Receiving Office (RO/US).</div></div></div></div></div><div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<div style="margin-left: 10px;"><div style="display: flex; margin-bottom: 2px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>a. is attached hereto.</div></div><div style="display: flex; margin-bottom: 2px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>b. has been previously submitted under 35 U.S.C. 154(d)(4).</div></div></div></div></div><div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input checked="" type="checkbox"/></div><div>7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<div style="margin-left: 10px;"><div style="display: flex; margin-bottom: 2px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>a. are attached hereto (required only if not communicated by the International Bureau).</div></div><div style="display: flex; margin-bottom: 2px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>b. have been communicated by the International Bureau.</div></div><div style="display: flex; margin-bottom: 2px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>c. have not been made; however, the time limit for making such amendments has NOT expired.</div></div><div style="display: flex; margin-bottom: 2px;"><div style="width: 20px; text-align: center;"><input checked="" type="checkbox"/></div><div>d. have not been made and will not be made.</div></div></div></div></div><div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</div></div><div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input checked="" type="checkbox"/></div><div>9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</div></div><div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</div></div></div> <div style="margin-top: 5px;">Items 11 to 20 below concern document(s) or information included:</div> <div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input checked="" type="checkbox"/></div><div>11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</div></div> <div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</div></div> <div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input checked="" type="checkbox"/></div><div>13. A preliminary amendment.</div></div> <div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input checked="" type="checkbox"/></div><div>14. An Application Data Sheet under 37 CFR 1.76.</div></div> <div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>15. A substitute specification.</div></div> <div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>16. A power of attorney and/or change of address letter.</div></div> <div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</div></div> <div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).</div></div> <div style="display: flex; margin-bottom: 5px;"><div style="width: 20px; text-align: center;"><input type="checkbox"/></div><div>19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</div></div>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT,

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20. Other items or information:					
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0				\$ 0	
All other situations.....\$200					
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))					
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100				\$ 0	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400					
All other situations.....\$500					
TOTAL OF 21, 22 and 23 =				300.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	23 - 20 =	3	x \$ 50	\$ 150.00	
Independent claims	3 - 3 =	0	x \$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 450.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/3.					
SUBTOTAL =				\$ 450.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	
TOTAL NATIONAL FEE =				\$ 450.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	
TOTAL FEES ENCLOSED =				\$ 450.00	
				Amount to be refunded:	\$
				Amount to be charged	\$

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 50-3220 in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3220. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Robert A. Franks
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Bridgewater, New Jersey 08807-2862


SIGNATURE
Robert A. Franks
NAME
28,605
REGISTRATION NUMBER